

# Le autorizzazioni attraverso lo sportello unico

Firenze, 29/11/2018



- Quadro normativo
- Compiti dell'Agencia
- Certificato di sicurezza unico
- Autorizzazione dei veicoli
- ERTMS
- Introduzione al OSS
- **Test**

- DIRETTIVA (UE) 2016/797 DEL PARLAMENTO EUROPEO E DEL CONSIGLIO dell'11 maggio 2016 relativa all'interoperabilità del sistema ferroviario dell'Unione europea
- DIRETTIVA (UE) 2016/798 DEL PARLAMENTO EUROPEO E DEL CONSIGLIO dell'11 maggio 2016 sulla sicurezza delle ferrovie
- REGOLAMENTO (UE) 2016/796 DEL PARLAMENTO EUROPEO E DEL CONSIGLIO dell'11 maggio 2016 che istituisce un'Agenzia dell'Unione europea per le ferrovie e che abroga il regolamento (CE) n. 881/2004

2013

2016

2019

2020

• **EC 4 PF**

• **Pubblicazione quadro normativo**

• **Recepimento in alcuni SM**

• **Recepimento in tutti gli SM e  
abrogazione precedenti DIR**

**3rd RWP**

**4th RWP**

FIRST  
AUTHORISATION  
MS1

ADDITIONAL  
AUTHORISATION  
MS2

ADDITIONAL  
AUTHORISATION  
MS3

**ONE SINGLE  
AUTHORISATION**



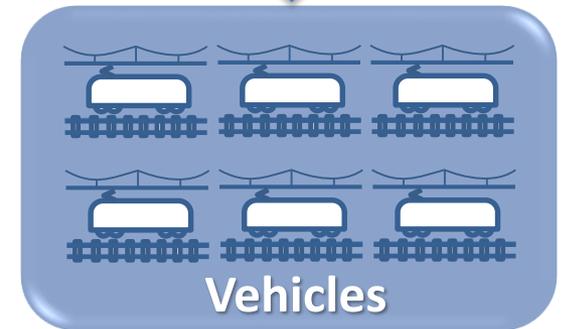
**Authorisation for  
placing in service**

**Authorisation for  
placing on the market**

Cosa c'è di nuovo



**Vehicle type  
+Variant & Version**



- Cultura della sicurezza (cfr. Art. 9.2 RSD) e responsabilità più chiare per i diversi attori (cfr. Art. 4 RSD).
- Approccio armonizzato alla certificazione di sicurezza.
- Quadro armonizzato per l'autorizzazione dei veicoli.
- Attuazione armonizzata del sistema ERTMS nell'Unione.
- Verifica della compatibilità tecnica.
- Requisiti dettagliati per gli organismi di valutazione della conformità .

- **Attuali:**
  - Raccomandazioni (STI, CSM, EMC) e pareri tecnici/opinioni
  - NSA/NIB/NRB Network
  - Riduzione/classificazione regole nazionali
  - Ricerca
  - Registri infrastruttura e veicoli
- **Nuovi:**
  - SRD
  - Autorità di sistema per applicazioni telematiche e ERTMS
  - Monitoraggio ASN/NoBo
  - Sportello unico

- Operativo del 16 giugno 2019
- Valido per:
  - Certificato di sicurezza unico
  - Autorizzazione dei veicoli
  - ERTMS (di terra)

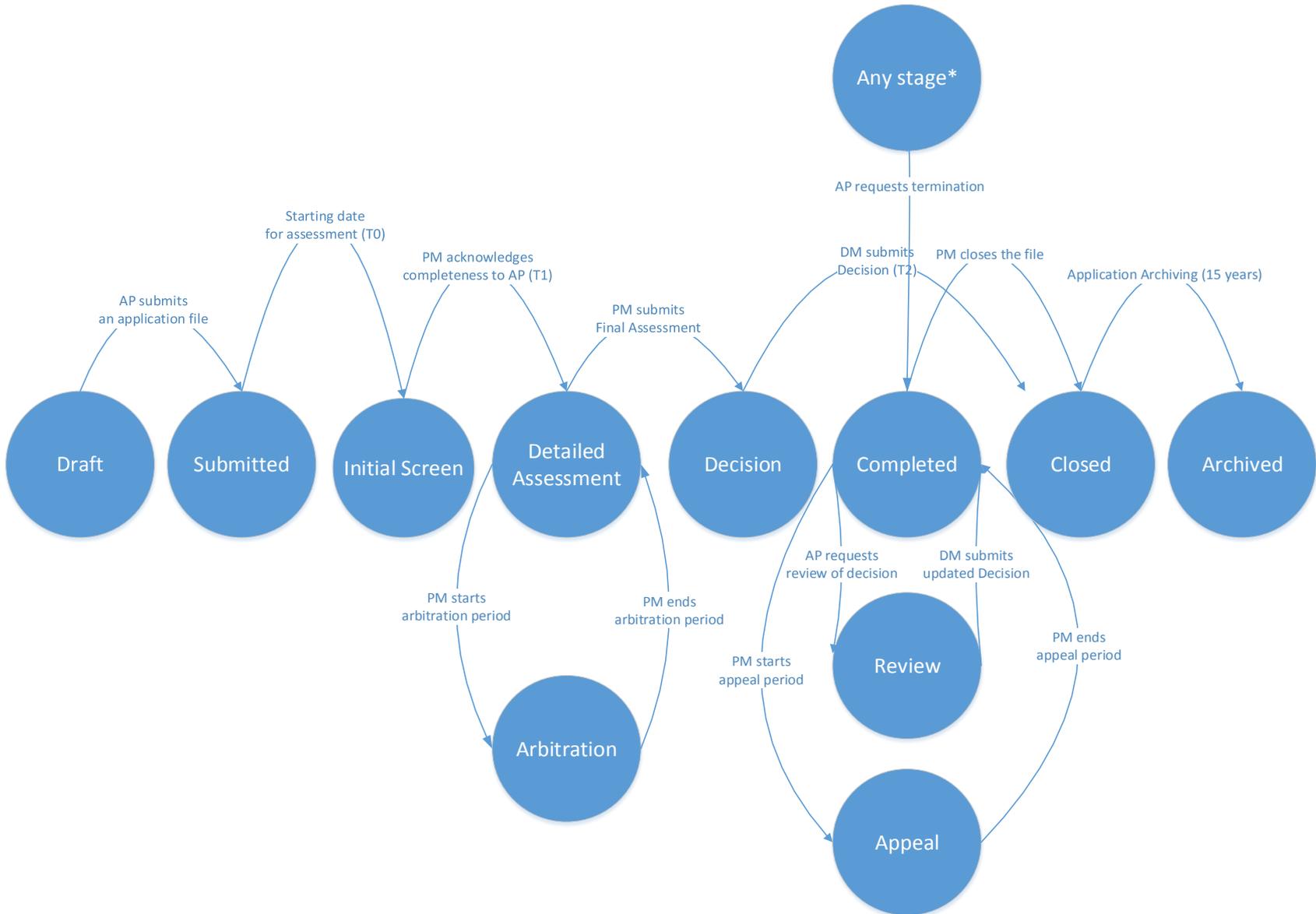
## Certificato di sicurezza unico

- Rilasciato da ERA (se più SM o richiedente) o da ASN
- Completezza documentale (STI, CSM, regole nazionali,...)
  - Entro 1 mese verifica formale
  - Entro 4 mesi (prorogabili) rilascio
- Procedura di arbitrato
- Precoinvolgimento
- Lingua
- Rinnovo, sospensione, modifica, limitazione
- Monitoraggio

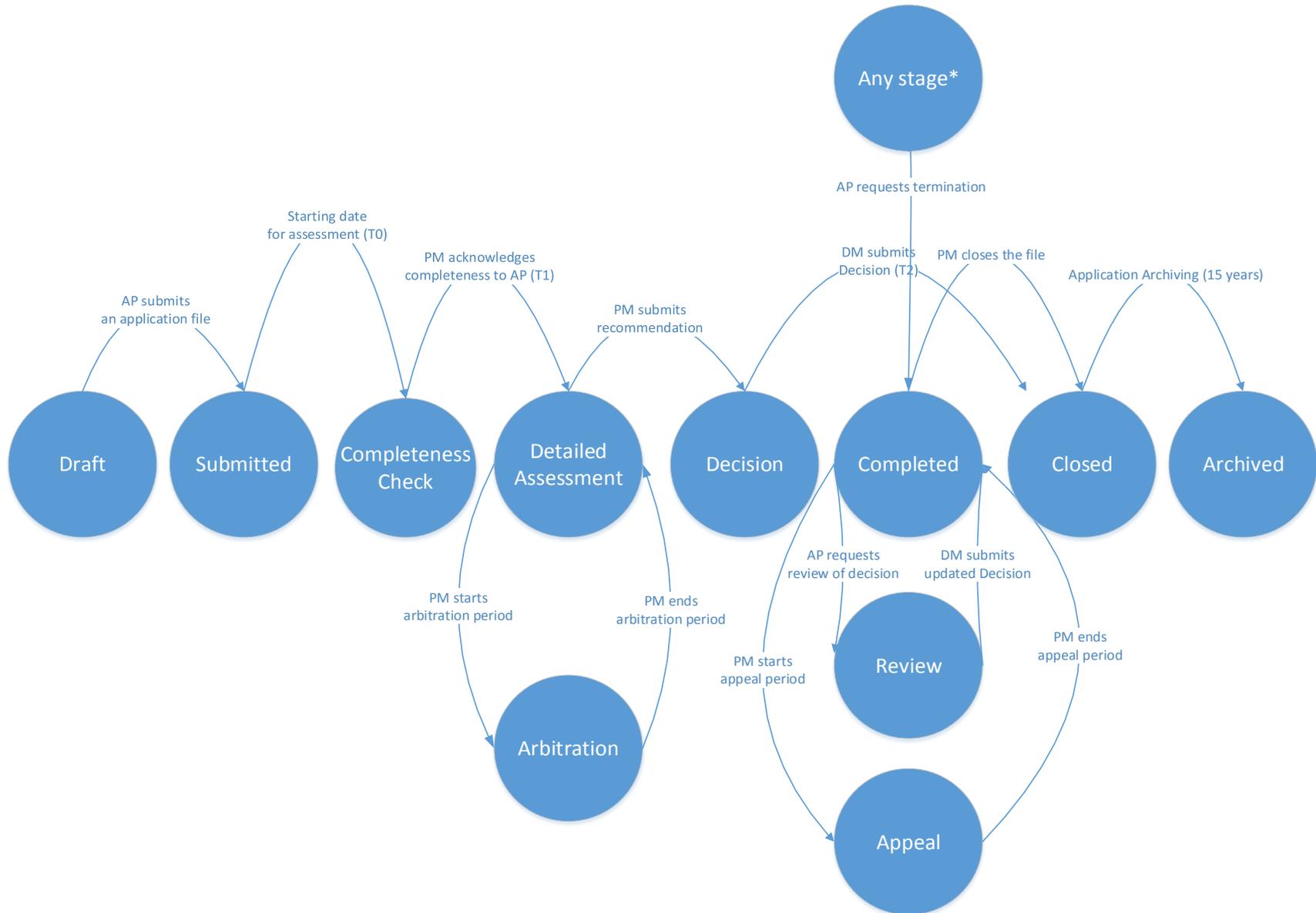
- ERA o ASN per Autorizzazione veicolo o tipo
- Uso sportello unico obbligatorio
- Completezza documentale
  - Entro 1 mese verifica formale
  - Entro 4 mesi (prorogabili) rilascio
- Autorizzazione temporanea possibile
- Procedura di arbitrato
- Precoinvolgimento
- Lingua
- Rinnovo, sospensione, modifica, limitazione

- ERA verifica soluzioni tecniche con STI
- Singolo progetto o combinazione di progetti
- Orientamenti per attuazione armonizzata
- Uso sportello unico obbligatorio
- Completezza documentale
  - Entro 1 mese verifica formale
  - Entro 2 mesi (prorogabili) rilascio
- Opinione di ASN possibile
- Procedura di arbitrato

- Nuovo SSC
- Rinnovo (S)SC
- Aggiornamento (S)SC
- Precoinvolgimento
- Aggiornamento con restrizioni o condizioni d'uso

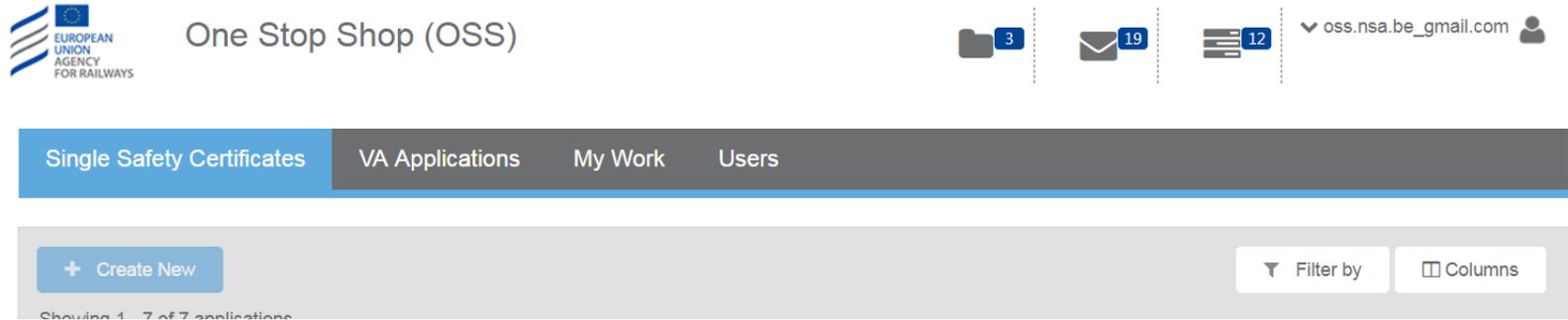


- Prima autorizzazione
- Nuova autorizzazione
- Autorizzazione estensione area d'uso
- Conformità di tipo
- Autorizzazione di tipo rinnovato
- Prima autorizzazione e conformità al tipo di autorizzazione
- Autorizzazione di nuova autorizzazione e area estesa di utilizzo
- Precoinvolgimento



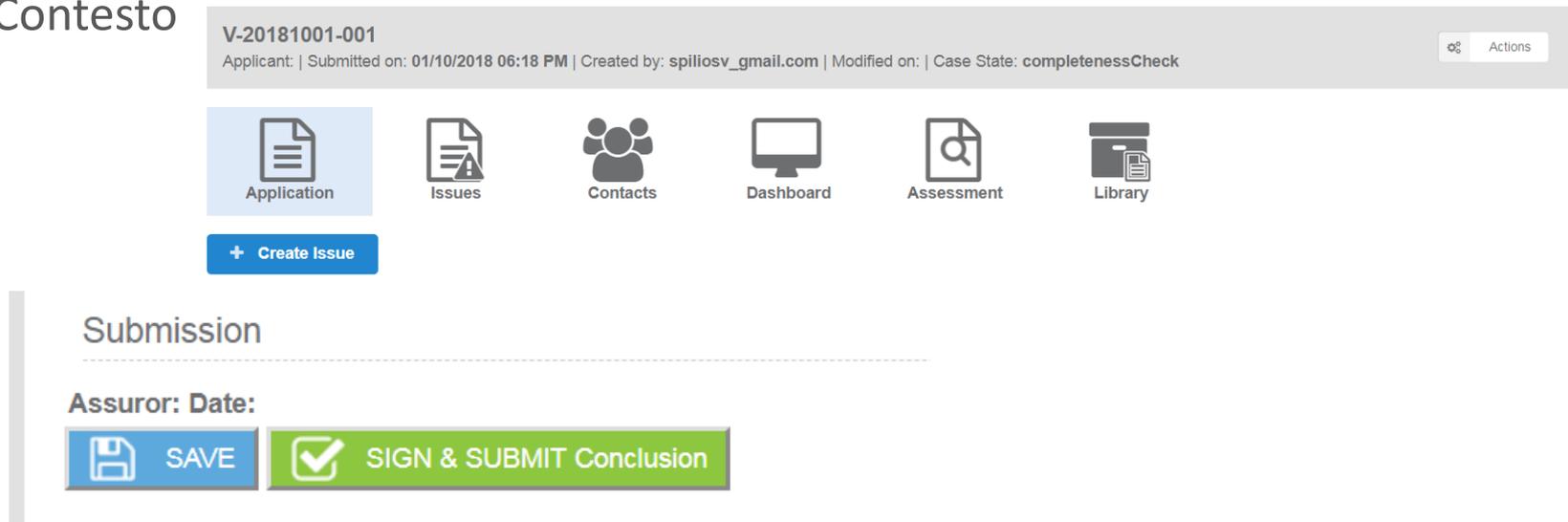
- ERTMS Trackside Project (ERTMS.TP)
- Richiesta di approvazione ERTMS.TP

- **Struttura**



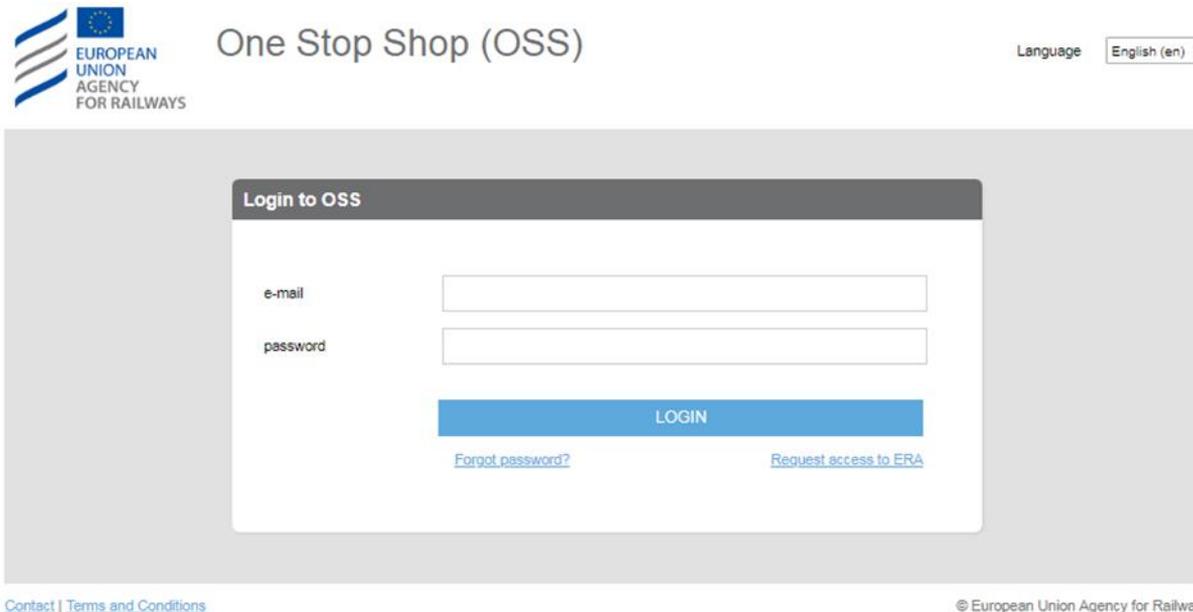
The screenshot shows the 'One Stop Shop (OSS)' interface. At the top left is the European Union Agency for Railways logo. The main header contains the text 'One Stop Shop (OSS)'. On the right side of the header, there are three notification icons: a folder with '3', an envelope with '19', and a list with '12'. Next to these is the user profile 'oss.nsa.be\_gmail.com' with a person icon. Below the header is a navigation bar with four tabs: 'Single Safety Certificates' (highlighted in blue), 'VA Applications', 'My Work', and 'Users'. Below the navigation bar is a toolbar with a '+ Create New' button, a 'Filter by' dropdown, and a 'Columns' button. At the bottom of the toolbar, it says 'Showing 1 - 7 of 7 applications'.

- **Contesto**



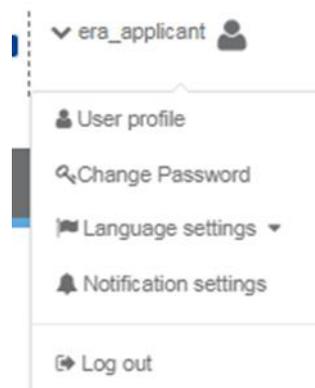
The screenshot shows the context page for application 'V-20181001-001'. The header displays the application ID and metadata: 'Applicant: | Submitted on: 01/10/2018 06:18 PM | Created by: spiliosv\_gmail.com | Modified on: | Case State: completenessCheck'. An 'Actions' button is visible in the top right. Below the header is a row of six icons representing different sections: 'Application' (document icon), 'Issues' (document with warning icon), 'Contacts' (group of people icon), 'Dashboard' (monitor icon), 'Assessment' (document with magnifying glass icon), and 'Library' (book icon). A '+ Create Issue' button is located below the 'Application' icon. A vertical grey bar is on the left side of the page. Below the icons, the section 'Submission' is shown with a dashed line. Underneath, the text 'Assuror: Date:' is followed by two buttons: a 'SAVE' button with a floppy disk icon and a 'SIGN & SUBMIT Conclusion' button with a checkmark icon.

- Login



The screenshot shows the 'One Stop Shop (OSS)' login interface. At the top left is the ERA logo. The page title is 'One Stop Shop (OSS)'. On the top right, there is a 'Language' dropdown menu set to 'English (en)'. The main content area features a 'Login to OSS' form with two input fields: 'e-mail' and 'password'. Below the fields is a blue 'LOGIN' button. Underneath the button are two links: '[Forgot password?](#)' and '[Request access to ERA](#)'. At the bottom left of the page, there are links for '[Contact](#)' and '[Terms and Conditions](#)'. At the bottom right, there is a copyright notice: '© European Union Agency for Railways'.

- User profile



The screenshot shows a user profile dropdown menu. The menu is triggered by a user icon labeled 'era\_applicant'. The dropdown contains the following options: 'User profile', 'Change Password', 'Language settings' (with a dropdown arrow), 'Notification settings', and 'Log out'.

Single Safety Certificates   VA Applications   My Work

[+ Create New](#) Filter by  Columns

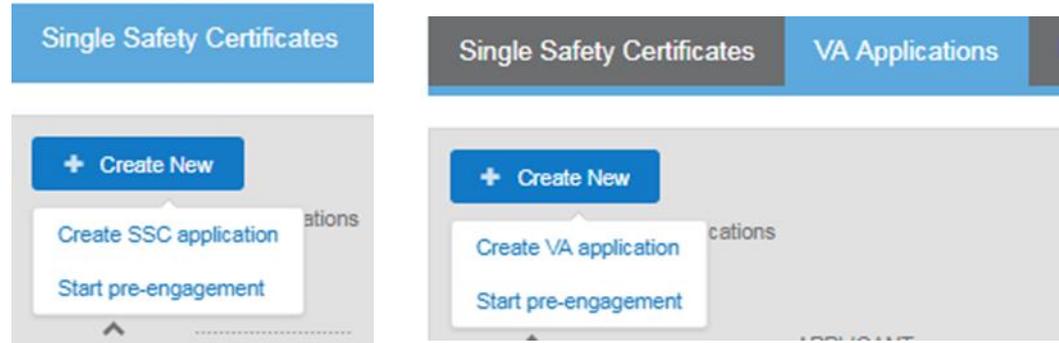
Showing 1 - 10 of 362 applications

◀ page 1 of 37 ▶

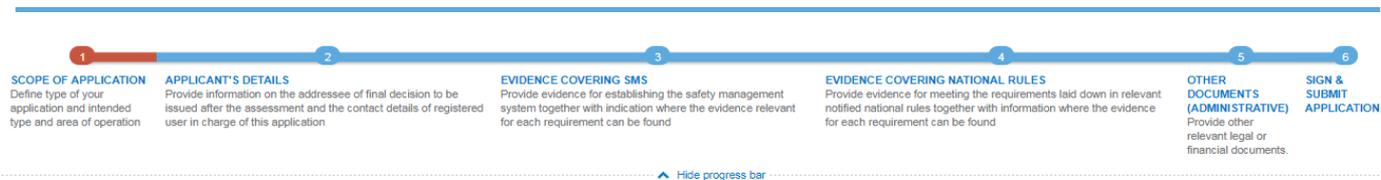
	APPLICANT	APPTYPE	SUBMISSION	STATUS	MODIFIED
	era_applicant	new		Draft	22/08/2018
	era_applicant S-20180806-003	new	06/08/2018	Completed	22/08/2018
	era_applicant			Draft	22/08/2018
	era_applicant			Draft	22/08/2018
	era_applicant S-20180727-010	new	27/07/2018	Completed	21/08/2018
	era_applicant	update		Draft	21/08/2018
	era_applicant S-20180806-004	new	06/08/2018	Closed	20/08/2018
	era_applicant			Draft	20/08/2018
	era_applicant	renewal		Draft	20/08/2018
	era_applicant			Draft	20/08/2018

10 applications per page

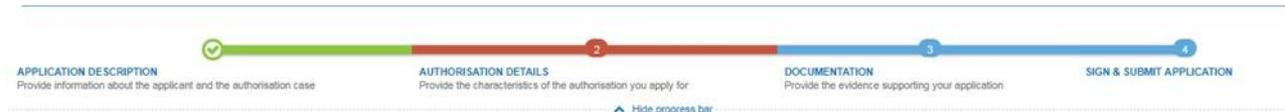
- Application File



- SSC



- VA



Authorisation case applied for:\*

Pre-engagement

Is there a pre-engagement?\*

- First authorisation
- New authorisation
- Extended area of use authorisation
- Renewed type authorisation
- Conformity to type authorisation
- First authorisation + Conformity to type authorisation
- New authorisation + Extended area of use authorisation

S-20180830-013

Applicant: | Submitted on: 30/08/2018 02:01 PM | Created by: era\_applicant | Modified on: | Case State: completed

GC Actor



Application



Issues



Contacts



Dashboard



Assessment



Library

Initial Screen

Detailed Assessment

**Final Assessment**

Quality Assurance

Decision

Part A: General Information

Final Assessment

Table B.1: Clarity of the assessment reports

B.1.1.	All relevant assessment reports have been duly completed for the initial screen part	<input checked="" type="radio"/> Yes <input type="radio"/> No	Provide evidence to justify the assessment conclusion
B.1.2.	All relevant assessment reports have been duly completed for the detailed assessment part	<input checked="" type="radio"/> Yes <input type="radio"/> No	
B.1.3.	All recommendations / opinions provided in the assessment reports are clear and unequivocal	<input checked="" type="radio"/> Yes <input type="radio"/> No	

Additional Information:

Table B.2: Conclusions from the assessment reports

B.2.1.	The applicant has met all relevant safety management system requirements	<input checked="" type="radio"/> Yes <input type="radio"/> No	Provide evidence to justify the assessment conclusion
B.2.2.	The applicant has met all relevant requirements of notified national safety rules	<input checked="" type="radio"/> Yes <input type="radio"/> No	
B.2.3.	There are there identified restrictions / conditions of use to be included in the certificate	<input checked="" type="radio"/> Yes <input type="radio"/> No	
B.2.4.	There are residual concerns to be deferred for consideration during later supervision	<input checked="" type="radio"/> Yes <input type="radio"/> No	

Additional Information:

Table B.3: Coordination between the relevant authorities

B.3.1.	The outcome of the assessment has been agreed between the relevant authorities	<input checked="" type="radio"/> Yes <input type="radio"/> No	Provide evidence to justify the assessment conclusion
B.3.2.	The operations to stations in neighbouring Member State(s) have been consulted on with relevant NB(A)s	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	
B.3.3.	The restrictions or conditions of use to be included in the single safety certificate have been agreed between the relevant authorities	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	
B.3.4.	The residual concerns to be deferred for consideration during later supervision have been agreed between the relevant authorities	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	
B.3.5.	There is an agreement which NB(A)s will follow up completion of action plans established to address residual concerns	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	

Additional Information:

Table C.1: Final decision

C.1.1.	I recommend the single safety certificate to be issued	<input checked="" type="radio"/> Yes <input type="radio"/> No	Provide evidence to justify the assessment conclusion
C.1.1.1.	I recommend to apply restrictions / conditions of use (if YES, provide details in Table C3)	<input checked="" type="radio"/> Yes <input type="radio"/> No	
C.1.1.2.	I recommend to defer the following issues for consideration during later supervision (if YES, provide details in Table C4)	<input checked="" type="radio"/> Yes <input type="radio"/> No	
C.1.2.	I recommend to reject the application (if YES, provide details in Table C2)	<input checked="" type="radio"/> Yes <input type="radio"/> No	
C.1.2.1.	I confirm that the applicant has been informed of the severity of outstanding matters of non-compliance	<input checked="" type="radio"/> Yes <input type="radio"/> No	
C.1.2.2.	I confirm that the applicant has been given all reasonable opportunity to respond to the outstanding matters of non-compliance	<input checked="" type="radio"/> Yes <input type="radio"/> No	

Conclusion and justification:

Table C.2: Outstanding issues justifying rejection of application

NONE

Assessor: \_\_\_\_\_ Date: 30/08/2018



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